

October 1, 2009

TO: PUBLIC TREASURERS

SUBJECT: CERTIFIED DEALERS Page 1 of 3

Following is the list of CERTIFIED DEALERS for the quarter beginning October 1, 2009 through December 31, 2009. THIS LIST SUPERSEDES THE LIST DATED July 1, 2009.

Transactions involving authorized deposits or investments of public funds may be conducted only through ISSUERS of securities authorized by Section 51-7-11(3), QUALIFIED DEPOSITORIES (refer to current list), Certified Investment Advisers (See List), or CERTIFIED AGENTS OF DEALERS included in this list. Authorized deposits or investments are generally limited to those securities listed in Section 51-7-11(3). All securities purchased from a certified dealer are required to be delivered to the public treasurer or to the treasurer's safekeeping bank.

The following are the changes from the list dated July 1, 2009.

Additions: Wells Fargo Advisors LLC is new on the list. **Deletions:** None. **Name changes, mergers, etc:** None.

Broker-dealers included in this list have met the minimum standards established by Utah Administrative Code, Section R628-16. **Inclusion in the list of certified dealers does not constitute an endorsement or recommendation by the Money Management Council or by the Securities Division of the Department of Commerce.** Compliance with the Money Management Act and Rules of the Council continues to be the responsibility of each public treasurer.

BROKER

AGENTS

Barclays Capital Inc.

Peter Bjurstein
Granger Tripp

BOSC, Inc.

William H. Money, III

George K. Baum & Co.

John T. Crandall
Susan P. Gardner
Frederic Jones
Stephen L. Strong
Brett C. Turnbull

Goldman Sachs & Co.

Judith Donahue
David McCarthy
Frank Murphy

Merrill Lynch, Pierce, Fenner & Smith

Leonard Armstrong
Nathan Armstrong
Tadd Killpack
Carl L. Laurella
Donald A. Penney
Steven Prickett
Jeffry J. Roundy
Rick L. Smith
Jan Wething

Morgan Stanley Smith Barney

Cody Adams
Craig P. Adams
Shane Bartholomew
Paul Barton
Stephen H Beierlein
Dedre M. Cortney
Douglas Felt
Phil Fonfara
John Hallgren
David K. Johnston
Tom Lifson
Debra Lyman
Thomas E. Nielson
John R. Ott
Brian Parcell
David Pickett
Angela L. Pizzichini
F. Barton Reuling
Robert H. Rose
Grant Smith
Ken Webster
Fred S. Wheeler
Betsy Whipple
Christopher Williams

RBC Capital Markets Corp.

Todd N. Adams
Eddie Barber Jr.
Sterling Hanson
Craig Martucci
Ronald N. Mason
Brent Peterson

Seattle-Northwest Securities Corp.

Ken Cramer
Mark McKinnon
Traci Salinas
Nicholas Yip

Wells Fargo Advisors LLC

Daniel Payne
David Payne
Timothy Payne

Wells Fargo Brokerage Services, LLC.

Benjamin Byington
Randy Church

Wells Fargo Brokerage Svcs, LLC (Cont'd)

Jana Creamer
Thomas Degenaars
Brandon Hodge
Annalise Lasater
Larry Lundberg
Darren Openshaw
Ryan Stoker